

1. **(12 points)** We play a game in which we roll a seven-sided die; we call a sequence of rolls “good” if it does not have two consecutive rolls of a number 3 or less (i.e. containing the subsequence 11, 12, 13 21, 22, 23, 31, 32, or 33).

- (a) **(6 points)** Find a recurrence relation and initial conditions for  $a_n$ , the number of good sequences of length  $n$ .

A good sequence of length  $n$  which ends in 4, 5, 6, or 7 can be constructed by preceding the last roll with any good sequence of length  $n - 1$ ; there are  $a_{n-1}$  ways to select a good sequence of length  $n - 1$ , and 4 ways to select a suffix, so this accounts for  $4a_{n-1}$  sequences.

A good sequence of length  $n$  which ends in 1, 2, or 3, on the other hand, must be preceded by 4, 5, 6, or 7 to avoid two the prohibited pairs. Before these two digits, any good sequence of length  $n - 2$  will suffice. We thus have a construction built from three parts selectable in  $a_{n-2}$ , 4, and 3 ways, so this case accounts for  $12a_{n-2}$ .

Since every possible ending for a sequence has been accounted for, these two cases describe all the good sequences of length  $n$ , so  $a_n = 4a_{n-1} + 12a_{n-2}$ .

There is one sequence of length zero (the empty sequence) and 7 sequences of length one, so  $a_0 = 1$  and  $a_1 = 7$ .

- (b) **(3 points)** Find a closed-form (non-recurrence) formula for  $a_n$ .

The characteristic polynomial of  $a_n = 4a_{n-1} + 12a_{n-2}$  is  $x^2 - 4x - 12$ , which has roots 6 and  $-2$ . Thus the general solution to this recurrence is  $a_n = k_1(-2)^n + k_26^n$ . Plugging in the initial conditions, we find that

$$\begin{cases} 1 = k_1 + k_2 \\ 7 = -2k_1 + 6k_2 \end{cases}$$

which has solution  $k_1 = -\frac{1}{8}$ ,  $k_2 = \frac{9}{8}$ . Thus, the closed form of  $a_n$  is

$$a_n = \frac{9(6^n) - (-2)^n}{8}$$

2. **(12 points)** We have fifteen tasks to distribute to our four distinct employees. We must give each employee between 2 and 5 tasks.

- (a) **(6 points)** How many ways can we distribute the tasks if all the tasks are considered to be identical?

We may pre-emptively assign two tasks to each employee, leaving seven which need to be distributed to the four employees with no more than 3 assigned to each (since three, plus the two already assigned, is the upper limit of five tasks per employee). This is identical to seeking non-negative integer solutions of  $x_1 + x_2 + x_3 + x_4 = 7$  in which each  $x_i \leq 3$ . Let  $X$  consist of all nonnegative solutions to  $x_1 + x_2 + x_3 + x_4 = 7$ , and let  $A_1$ ,  $A_2$ ,  $A_3$ , and  $A_4$  consist of those solutions in which  $x_1 > 3$ ,  $x_2 > 3$ ,  $x_3 > 3$ , and  $x_4 > 3$  respectively. The desired enumeration is the set in which none of these properties are satisfied, i.e.  $|\overline{A_1 \cup A_2 \cup A_3 \cup A_4}|$ . This may be calculated by inclusion-exclusion after determining  $|X|$  and the sizes of all intersections of  $A_i$ . It is easy to show that  $|X| = \binom{10}{3}$ . We may calculate  $|A_1|$  (and by symmetry,  $|A_i|$  in general) by pre-emptively assigning 4 to  $x_1$  and distributing the remaining 3 jobs  $\binom{6}{3}$  ways. Furthermore, we may see that

$|A_i \cap A_j| = 0$ , since violation of two properties would require assigning at least 4 to both of  $x_i$  and  $x_j$ , exceeding the total of 7 which we have to distribute. Thus,

$$|\overline{A_1 \cup A_2 \cup A_3 \cup A_4}| = |X| - 4|A_i| = \binom{10}{3} - 4\binom{6}{3}$$

This problem may also be solved with generating functions, which involves calculating the  $z^{16}$  coefficient of

$$(z^2 + z^3 + z^4 + z^5)^4 = z^8(1 + z + z^2 + z^3)^4 = z^8 \frac{(1 - z^4)^4}{(1 - z)^4} = (z^8 - 4z^{12} + 6z^{16} - 4z^{20} + z^{24}) \sum_{n=0}^{\infty} \binom{n+3}{3} z^n$$

- (b) **(6 points)** Describe a method for calculating the number of ways to distribute the tasks if all the tasks are considered to be distinct. You need not actually perform the calculation.

This requires the use of an exponential generating function, since the tasks are distinct (or ordered). Each employee is assigned between 2 and 5 tasks, so the task-assignment function for a single employee is

$$\frac{z^2}{2!} + \frac{z^3}{3!} + \frac{z^4}{4!} + \frac{z^5}{5!}$$

and the generating function for assigning  $n$  distinct tasks under this restriction is thus

$$\left( \frac{z^2}{2!} + \frac{z^3}{3!} + \frac{z^4}{4!} + \frac{z^5}{5!} \right)^4$$

It now remains to pull the useful information from this generating function: the relevant piece of data is the coefficient of  $\frac{z^{15}}{15!}$ , since we wish to distribute 15 tasks. The relevant number, for the record, is 418738320, but this cannot be easily determined without computer-algebra systems.

### 3. (12 points)

- (a) **(4 points)** Determine a generating function for the number of unordered partitions of  $n$  into parts of size 1, 2, or 5.

If we have  $x_1$  partitions of size 1,  $x_2$  partitions of size 2, and  $x_5$  partitions of size 5, then partitions of  $n$  are described by solutions to

$$x_1 + 2x_2 + 5x_5 = n$$

Selection of  $x_1$  allows us to contribute any integer number towards the total, so the selection function for  $x_1$  is  $(1 + z + z^2 + z^3 + \dots) = \frac{1}{1-z}$ ; selection of  $x_2$  may contribute an even number towards the total, so the selection function for  $x_2$  is  $(1 + z^2 + z^4 + z^6 + \dots) = \frac{1}{1-z^2}$ ; and selection of  $x_5$  may contribute a multiple of 5 towards the total, so the selection function for  $x_5$  is  $(1 + z^5 + z^{10} + z^{15} + \dots) = \frac{1}{1-z^5}$ , so the generating function described by selecting each in turn is

$$(1 + z + z^2 + z^3 + \dots)(1 + z^2 + z^4 + z^6 + \dots)(1 + z^5 + z^{10} + z^{15} + \dots) = \frac{1}{(1-z)(1-z^2)(1-z^5)}$$

- (b) **(4 points)** Determine a recurrence relation and initial conditions for the number of ordered partitions of  $n$  into parts of size 1, 2, or 5. (e.g.  $6 = 5 + 1$  and  $6 = 1 + 5$  are considered distinct partitions of 6).

Let  $a_n$  be the number of such ordered partitions. Consider the first term of a partition of  $n$ . If it is 1, then the remainder is a partition of  $n - 1$ , which can be realized in  $a_{n-1}$  ways. If it is 2, the remainder is a partition of  $n - 2$ , which can be realized in  $a_{n-2}$  ways; if it is 5, the remainder is a partition of  $n - 5$ , which can be realized in  $a_{n-5}$  ways. Adding up these disparate cases, we see that  $a_n = a_{n-1} + a_{n-2} + a_{n-5}$ . Now, we unfortunately need initial conditions for  $a_0$  through  $a_4$  for this fifth-order recurrence relation. Simple enumeration shows that  $a_0 = 1$ ,  $a_1 = 1$ ,  $a_2 = 2$ ,  $a_3 = 3$ , and  $a_4 = 5$ .

- (c) **(4 points)** Determine a generating function for the number of unordered partitions of  $n$  into an even number of parts.

This one was unexpectedly difficult; I expected a transposition to do the trick, but it turns out to be messy.

4. **(12 points)**

- (a) **(6 points)** Determine the coefficient of  $z^n$  in the power-series expansion of  $(z^2 + z^3)(z + z^2 + z^3 + z^4 + z^5 + \dots)^3$ .

We expand the series as such:

$$\begin{aligned} (z^2 + z^3)(z + z^2 + z^3 + z^4 + z^5 + \dots)^3 &= (z^2 + z^3)z^3(1 + z + z^2 + z^3 + \dots)^3 \\ &= (z^5 + z^6) \frac{1}{(1 - z)^3} \\ &= (z^5 + z^6) \sum_{n=0}^{\infty} \binom{n+2}{2} z^n \\ &= \sum_{n=0}^{\infty} \binom{n+2}{2} z^{n+5} + \sum_{n=0}^{\infty} \binom{n+2}{2} z^{n+6} \end{aligned}$$

which under appropriate index-shifting becomes

$$\sum_{n=5}^{\infty} \binom{n-3}{2} z^n + \sum_{n=6}^{\infty} \binom{n-4}{2} z^n = z^5 + \sum_{n=6}^{\infty} \left[ \binom{n-3}{2} + \binom{n-4}{2} \right] z^n$$

so the coefficient in question is  $\binom{n-3}{2} + \binom{n-4}{2}$  for  $n \geq 6$  (and 1 for  $n = 5$ , and 0 for  $n < 5$ ).

- (b) **(6 points)** Using an exponential generating function, find the number of arrangements of 5 letters of the word TENNESSEE.

The exponential generating function will describe arrangements of  $n$  letters, and we will pull out only the  $\frac{n^5}{5!}$  coefficient to find our answer. “T” can be either present or absent, so it has associated exponential choice function  $1 + z$ ; there can be anywhere from zero to four “E”s, so it has exponential choice function  $1 + z + \frac{z^2}{2} + \frac{z^3}{6} + \frac{z^4}{24}$ ; there can be up to two “N”s and two “S”s, so both have choice functions  $(1 + z + \frac{z^2}{2})$ , so our overall

generating function is:

$$\begin{aligned} \left(1 + z + \frac{z^2}{2} + \frac{z^3}{6} + \frac{z^4}{24}\right) (1+z) \left(1 + z + \frac{z^2}{2}\right)^2 \\ = \left(1 + z + \frac{z^2}{2} + \frac{z^3}{6} + \frac{z^4}{24}\right) \left(1 + 3z + 4z^2 + 3z^3 + \frac{5}{4}z^4 + \frac{z^5}{4}\right) \end{aligned}$$

combining terms which form  $z^5$  in this, we see that such terms add up as such:  $\frac{1}{24} \cdot 3 + \frac{1}{6} \cdot 4 + \frac{1}{2} \cdot 3 + 1 \cdot \frac{5}{4} + 1 \cdot \frac{1}{4} = \frac{91}{24}$ , so the term in question is  $\frac{91 \cdot 5!}{24} \left(\frac{z^5}{5!}\right)$ , with coefficient  $\frac{91 \cdot 120}{24} = 455$ .

Note: the actual exam problem will be less algebraically involved. This is what happens when I rush stuff to print.

5. (12 points)

- (a) (4 points) *A number is squarefree if it is not divisible by any square number greater than 1. How many squarefree positive integers are there less than 100?*

If a number is divisible by a square, it is in particular divisible by the square of a prime number (e.g. numbers divisible by  $6^2$  are guaranteed to be divisible by  $2^2$  and  $3^2$ ). Consider all the primes less than 10: 2, 3, 5, and 7. Divisibility by  $2^2$ ,  $3^2$ ,  $5^2$ , or  $7^2$  will prevent a number from being squarefree. Let  $A_2$ ,  $A_3$ ,  $A_5$ , and  $A_7$  be sets of numbers with these properties, and  $X = \{1, 2, 3, \dots, 100\}$  (we may include 100 if we like, since it will be excluded in the end anyways); then our sought number is  $|\overline{A_2 \cup A_3 \cup A_5 \cup A_7}|$ .  $|A_2| = 25$ , since there are 25 numbers from 1 to 100 divisible by 4; likewise  $|A_3| = 11$ ,  $|A_5| = 4$ , and  $|A_7| = 1$ .  $|A_2 \cap A_3| = 2$  (36 and 72 are divisible by both 4 and 9), and  $|A_2 \cap A_5| = 1$  (100 is divisible by 4 and 25), but all other intersections are empty. Thus, by inclusion-exclusion,  $|\overline{A_2 \cup A_3 \cup A_5 \cup A_7}| = |X| - |A_2| - |A_3| - |A_5| - |A_7| + |A_2 \cap A_3| + |A_2 \cap A_5| = 100 - 25 - 11 - 4 - 1 + 1 + 2 = 62$ .

- (b) (4 points) *How many surjections are there from  $A = \{a, b, c, d, e, f\}$  to  $B = \{1, 2, 3\}$ ?*

Let  $X$  be the set of all functions from  $A$  to  $B$ :  $|X| = 3^6$ . Now consider  $A_1$ ,  $A_2$ , and  $A_3$  as sets of functions which fail to be surjections in various ways: failing to map anything to 1, 2, and 3 respectively. So, for instance,  $A_1$  consists of functions from  $A$  to  $\{2, 3\}$ , of which there are  $2^6$ ; likewise,  $|A_2| = |A_3| = 2^6$ . Intersections of 2 violations,  $A_i \cap A_j$  consist only of those functions mapping nothing to  $i$  or  $j$ , leaving only one possible image; thus  $|A_i \cap A_j| = 1^6$ . Lastly,  $A_1 \cap A_2 \cap A_3$  is empty, since at least one of the element of  $B$  must be in the image of a function. Thus, using inclusion-exclusion, we see that the number of functions meeting none of these surjection-failure conditions is:

$$|\overline{A_1 \cup A_2 \cup A_3}| = |X| - \binom{3}{1}|A_i| + \binom{3}{2}|A_i \cap A_j| - \binom{3}{3}|A_1 \cap A_2 \cap A_3| = 3^6 - 3 \cdot 2^6 + 6 \cdot 1^6 - 0$$

- (c) (4 points) *How many 6-digit numbers have at least one of 1, 2, or 3 as a digit?*

Let  $X$  consist of all six-digit numbers, and let  $A$  be the set of numbers with neither a 1, a 2, nor a 3. Then  $\overline{A}$  consists of those numbers with at least one of these three digits. Clearly  $|X| = 9 \cdot 10^5 = 90000$ , and  $|A| = 6 \cdot 7^5$ , so the set of 6-digit numbers not lacking a 1, 2, or 3 is  $|\overline{A}| = |X| - |A| = 9 \cdot 10^5 - 6 \cdot 7^5$ .