

1. **(25 points)** Given posets  $(S, \preceq_S)$  and  $(T, \preceq_T)$ , let us consider the set  $S \times T$  subjected to the relation  $\preceq$  which is such that  $(a, b) \preceq (c, d)$  if and only if  $a \preceq_S c$  and  $b \preceq_T d$ .

- (a) **(5 points)** Show that  $\preceq$  is a partial ordering on  $(S \times T)$ .

For any  $s \in S$  and  $t \in T$ , reflexivity of  $\preceq_S$  and  $\preceq_T$  guarantee that  $s \preceq_S s$  and  $t \preceq_T t$ , so  $(s, t) \preceq (s, t)$ . Thus  $\preceq$  is reflexive.

If  $(a, b)$ ,  $(c, d)$ , and  $(e, f)$  are elements of  $S \times T$  such that  $(a, b) \preceq (c, d)$  and  $(c, d) \preceq (e, f)$ , the definition of  $\preceq$  guarantees that  $a \preceq_S c$  and  $c \preceq_S e$ , so by transitivity of  $\preceq_S$ ,  $a \preceq_S e$ ; likewise  $b \preceq_T d$  and  $d \preceq_T f$ , so since  $\preceq_T$  is transitive,  $b \preceq_T f$ . Thus,  $(a, b) \preceq (e, f)$ , exhibiting transitivity of  $\preceq$ .

Finally, let us demonstrate antisymmetry. For  $(a, b)$  and  $(c, d)$  in  $S \times T$ , let us explore the circumstances under which it can be true that both  $(a, b) \preceq (c, d)$  and  $(c, d) \preceq (a, b)$ . The former relation requires that  $a \preceq_S c$  and  $b \preceq_T d$ ; the latter that  $c \preceq_S a$  and  $d \preceq_T b$ . By antisymmetry of  $\preceq_S$ , since  $a \preceq_S c$  and  $c \preceq_S a$ , it must be the case that  $a = c$ ; likewise,  $b \preceq_T d$  and  $d \preceq_T b$  requires that  $b = d$ . Thus,  $(a, b) \preceq (c, d)$  and  $(c, d) \preceq (a, b)$  only if  $(a, b) = (c, d)$ , so  $\preceq$  is antisymmetric.

- (b) **(5 points)** Show that  $(a, b)$  is a maximal (or minimal) element of  $S \times T$  if and only if  $a$  and  $b$  are maximal (or minimal) elements of  $S$  and  $T$  respectively.

We need only prove this for the maximal case; the argument for minimal  $(a, b)$  is identical with all orderings reversed.

If  $(a, b)$  is maximal, then for all  $(c, d) \neq (a, b)$ , it follows that  $(a, b) \not\preceq (c, d)$ ; in particular, for all  $d \neq b$ , we know that  $(a, b) \not\preceq (a, d)$ . This will be true only if either  $a \not\preceq_S a$  or  $b \not\preceq_T d$ . The first possibility is clearly not true (by reflexivity of  $\preceq_S$ ); thus the latter must be true, and since it is true for all  $d$ ,  $b$  must be maximal in  $T$ . A similar inspection of the comparison  $(a, b) \not\preceq (c, b)$  will demonstrate maximality of  $a$  in  $S$ .

Conversely, if  $a$  is maximal in  $S$  and  $b$  is maximal in  $T$ , then we may show that  $(a, b)$  is maximal in  $S \times T$  by considering the circumstances under which  $(a, b) \preceq (c, d)$ . This can happen only if  $a \preceq_S c$  and  $b \preceq_T d$ ; however, by maximality of  $a$  and  $b$ , this is only the case if  $a = c$  and  $b = d$ . Thus, the only  $(c, d)$  for which  $(a, b) \preceq (c, d)$  is one equal to  $(a, b)$ , so  $(a, b)$  is maximal.

- (c) **(5 points)** Show that if  $C$  is a chain in  $S \times T$ , then the set  $C_S$  of first coordinates of elements of  $C$  is a chain in  $S$ . (similarly, it is true that the set  $C_T$  of second coordinates of elements of  $C$  is a chain in  $T$ ).

If  $x$  and  $y$  are elements of  $C_S$ , then by the construction of  $C_S$  there must be elements  $(x, a)$  and  $(y, b)$  of  $C$ . Since  $C$  is a chain in  $S \times T$ , it must be the case that  $(x, a) \preceq (y, b)$  or  $(y, b) \preceq (x, a)$ . Thus, by the definition of  $\preceq$ , either  $x \preceq_S y$  or  $y \preceq_S x$ . Thus  $x$  and  $y$  are comparable; since  $x$  and  $y$  were arbitrarily chosen, it follows that any two members of  $C_S$  are comparable, so  $C_S$  is a chain. The argument for  $C_T$  proceeds along similar lines.

- (d) **(5 points)** Demonstrate that if  $A$  is an antichain in  $S \times T$ , then the sets  $A_S$  and  $A_T$  defined as in the previous question need not be antichains.

A simple example suffices to show otherwise: let  $S = T = \{1, 2\}$ , subject to the ordinary numeric order  $\leq$ . Then  $\{(1, 2), (2, 1)\}$  is an antichain in  $S \times T$ , but  $A_S$  and  $A_T$  will both be  $\{1, 2\}$ , which is not an antichain.

- (e) **(5 points + 5 points bonus)** If  $S$  has height  $h_S$  and width  $w_S$ , and  $T$  has height  $h_T$  and width  $w_T$ , what upper and lower bounds can you put on the height and width of  $S \times T$ ? Can you show that your upper and lower bounds are achieved by some choices of  $S$  and  $T$ ?

The height of  $S \times T$  can be easily seen to be  $h_S + h_T - 1$ . This can be shown to be a lower bound on the height by explicitly producing a chain of this length. Let  $s_1 \preceq_S s_2 \preceq_S s_3 \preceq_S \cdots \preceq_S s_{h_S}$  be a longest chain in  $S$  (with each  $s_i \neq s_{i+1}$ ); likewise let  $t_1 \preceq_T t_2 \preceq_T t_3 \preceq_T \cdots \preceq_T t_{h_T}$  be a longest chain in  $T$ . Then we can construct a chain of length  $h_S + h_T - 1$  in  $S \times T$  as such:

$$(s_1, t_1) \preceq (s_1, t_2) \preceq \cdots \preceq (s_1, t_{h_T}) \preceq (s_2, t_{h_T}) \preceq \cdots \preceq (s_{h_S}, t_{h_T})$$

Showing that no longer chain is possible is slightly more difficult. Let us suppose  $C$  is a chain of length  $n = h_S + h_T$  in  $S \times T$ ; we may explicitly label its members in order:

$$(s_1, t_1) \preceq (s_2, t_2) \preceq (s_3, t_3) \preceq \cdots \preceq (s_n, t_n)$$

such that each  $(s_i, t_i) \neq (s_{i+1}, t_{i+1})$ . By the definition of  $\preceq$ , the above chain-ordering is identical to asserting the existence of the following *nonstrictly* increasing sequences:

$$s_1 \preceq_S s_2 \preceq_S s_3 \preceq_S \cdots \preceq_S s_n$$

$$t_1 \preceq_T t_2 \preceq_T t_3 \preceq_T \cdots \preceq_T t_n$$

And, since  $(s_i, t_i) \neq (s_{i+1}, t_{i+1})$  for all  $i$ , it is necessary that for each  $i$ , either  $s_i \neq s_{i+1}$  or  $t_i \neq t_{i+1}$ . One question thus to be asked of each of the nonstrictly increasing sequences above is how many of the  $\preceq$  symbols actually represent equality. Since the distinct terms of each sequence form a chain, we know that there are at most  $h_S$  distinct elements of the first sequence, and at most  $h_T$  distinct elements of the second sequence. Thus, there must be at least  $n - h_S = h_T$  equalities in the first sequence, and  $n - h_T - h_S$  equalities in the second sequence. Thus, of the  $2(n - 1)$  relation-symbols appearing in those two sequences, at least  $h_T + h_S = n$  of them must be equalities; by the Pigeonhole Principle, two of those equalities will be directly atop one another, implying that both  $s_i = s_{i+1}$  and  $t_i = t_{i+1}$ , which contradicts our claim that all elements of  $C$  are distinct; thus, no chain of length  $h_S + h_T$  exists in  $S \times T$ , so the height of  $S \times T$  is exactly  $h_S + h_T - 1$ .

Let us now consider antichains. These are much more difficult to craft, in general. We can find a quite good lower bound of  $w_S w_T$  for the width: if  $A_S$  and  $A_T$  are antichains in  $S$  and  $T$  respectively, it's quite easy to show that  $A_S \times A_T$  is an antichain in  $S \times T$ , so choosing maximum  $A_S$  and  $A_T$ , this will produce an antichain of size  $w_S w_T$  in  $S \times T$ . An upper bound is somewhat harder. We can invoke Dilworth's Theorem to possibly improve this somewhat, but not much: we

know that  $|S \times T| \leq h_{S \times T} w_{S \times T}$ , so  $w_{S \times T} \geq \frac{|S| \cdot |T|}{h_S + h_T - 1}$ , which may be larger than  $w_S w_T$ . A good upper bound, however, is very hard to demonstrate. A sloppy easy upper bound would be  $\min(w_S |T| + 1, w_T |S| + 1)$ . To show that any subset  $A$  of  $S \times T$  of size  $w_S |T| + 1$  contains comparable elements, we may use the Pigeonhole Principle, which says that, since there are only  $|T|$  different second coordinates possible, at least  $w_S + 1$  elements of  $A$  have the same second coordinate. Let us call these elements  $(s_1, t), (s_2, t), \dots, (s_{w_S+1}, t)$ . Note that  $\{s_1, s_2, \dots, s_{w_S+1}\}$  is a subset of  $S$  of size  $w_S + 1$ , so by the definition of width, this set can not be an antichain so some  $s_i \preceq_S s_j$  in this set. Then, since  $t \preceq_T t$ , it follows that  $(s_i, t) \preceq (s_j, t)$  so  $A$  is not an antichain.

2. **(15 points)** *There are 34 non-isomorphic graphs on five vertices. We shall prove this without recourse to brute force.*

(a) **(5 points)** *There are 120 permutations of five elements. They can be divided into seven categories based on their cycle index. Identify the number of permutations in each category, justifying your work.*

The seven categories actually correspond exactly to the seven partitions of 5 into positive integers:  $1 + 1 + 1 + 1 + 1$  corresponds to the cycle index  $x_1^5$ , which describes the identity (which is unique).

$2 + 1 + 1 + 1$  corresponds to cycle index  $x_2 x_1^3$ , which is a permutation consisting of three fixed points and a single swap. There are  $\binom{5}{2} = 10$  of these, since the permutation is uniquely determined by selecting the elements of the swap.

$2 + 2 + 1$  corresponds to cycle index  $x_2^2 x_1$ , which is a permutation consisting of a fixed point and two swaps. There are  $\frac{1}{2} \binom{5}{2, 2, 1} = 15$  of these, since we may divide the elements of the permutation up among the first swap, second swap, and fixed point, but then must divide by 2 since the “first” and “second” swaps are interchangeable labels.

$3 + 1 + 1$  corresponds to cycle index  $x_3 x_1^2$ , which is a permutation consisting of two fixed points and a 3-cycle. There are  $\frac{3!}{3} \binom{5}{3} = 20$  of these, since the permutation is uniquely determined by selecting the elements of the cycle and then their order within the cycle.

$3 + 2$  corresponds to cycle index  $x_3 x_2$ , which is a permutation consisting of a 3-cycle and a swap. There are  $\frac{3!}{3} \binom{5}{3} = 20$  of these, since the permutation is uniquely determined by selecting the elements of the cycle and then their order within the cycle.

$4 + 1$  corresponds to cycle index  $x_4 x_1$ , which is a permutation consisting of a 4-cycle and a fixed point. There are  $\frac{4!}{4} \binom{5}{4} = 30$  of these, since the permutation is uniquely determined by selecting the elements of the cycle and then their order within the cycle.

5 corresponds to cycle index  $x_5$ , which is a permutation consisting of one large 5-cycle. There are  $\frac{5!}{5} = 24$  of these, since the permutation is uniquely determined by selecting the order of elements within the cycle.

Note that  $1 + 10 + 15 + 20 + 20 + 30 + 24 = 120$ , as desired.

- (b) **(5 points)** For each of the seven categories, determine how many graphs are invariant under a representative permutation in that category.

There are  $2^{10}$  ways to produce a graph on a labeled set of vertices  $\{v_1, v_2, v_3, v_4, v_5\}$ , since there are 10 pairs of vertices, and for each vertex-pair  $[i, j]$ , there is the choice of whether to include the edge  $e_{ij}$  or not.

All of these graphs are invariant under the identity, so  $\text{Inv}(e) = 2^{10}$ .

Looking at the single swap, let us consider specifically the swap  $(v_1v_2)$ . This will swap each of the edges/non-edges  $e_{13}$ ,  $e_{14}$ , and  $e_{15}$  with  $e_{23}$ ,  $e_{24}$ , and  $e_{25}$  respectively, while keeping every other edge in place. Thus, to craft an invariant graph under this swap, we have 7 decisions to make: to include or not include each of the four fixed edges, and to include or not include each of the three pairs of swapped edges. Thus,  $\text{Inv}((v_1v_2)(v_3)(v_4)(v_5)) = 2^7$ ; by symmetry the same invariant will hold for the other permutations of this type.

Now, considering the double swap, let us consider specifically the swaps  $(v_1v_2)$  and  $(v_3v_4)$ . This will swap each of the edges/non-edges  $e_{13}$ ,  $e_{14}$ ,  $e_{15}$ , and  $e_{35}$  with  $e_{24}$ ,  $e_{23}$ ,  $e_{25}$ , and  $e_{45}$  respectively, while keeping every other edge in place. Thus, to craft an invariant graph under this swap, we have 6 decisions to make: to include or not include each of the two fixed edges, and to include or not include each of the four pairs of swapped edges. Thus,  $\text{Inv}((v_1v_2)(v_3v_4)(v_5)) = 2^6$ ; by symmetry the same invariant will hold for the other permutations of this type.

We may look at the 3-cycle  $(v_1v_2v_3)$ . This permutation will cycle the edges  $e_{12}$ ,  $e_{23}$ ,  $e_{13}$  onto each other, and also produce the cycles  $e_{14}$ ,  $e_{24}$ ,  $e_{34}$  and  $e_{15}$ ,  $e_{25}$ ,  $e_{35}$ . The edge  $e_{45}$  is kept fixed. Thus, to craft an invariant graph under this swap, we have 4 decisions to make: to include or not include  $e_{45}$ , and to include or not include each of the three 3-cycles of edges. Thus,  $\text{Inv}((v_1v_2v_3)(v_4)(v_5)) = 2^4$ ; by symmetry the same invariant will hold for the other permutations of this type.

Now, the 3-cycle  $(v_1v_2v_3)$  with swap  $(v_4v_5)$  is under investigation. This permutation will cycle the edges  $e_{12}$ ,  $e_{23}$ ,  $e_{13}$  onto each other, and also produces a 6-cycle including all of  $e_{14}$ ,  $e_{24}$ ,  $e_{34}$ ,  $e_{15}$ ,  $e_{25}$ ,  $e_{35}$ . Thus, to craft an invariant graph under this swap, we have 3 decisions to make: to include or not include  $e_{45}$ , and the include or not include each of the two cycles of edges. Thus,  $\text{Inv}((v_1v_2v_3)(v_4v_5)) = 2^3$ ; by symmetry the same invariant will hold for the other permutations of this type.

Next we look at the 4-cycle  $(v_1v_2v_3v_4)$ . This permutation will cycle the edges  $e_{12}$ ,  $e_{23}$ ,  $e_{34}$ ,  $e_{41}$  onto each other, as well as swapping  $e_{13}$  and  $e_{24}$ . It also produces a 4-cycle of  $e_{15}$ ,  $e_{25}$ ,  $e_{35}$ ,  $e_{45}$ . Thus, to craft an invariant graph under this swap, we have 3 decisions to make; one for each of the three cycles. Thus,  $\text{Inv}((v_1v_2v_3v_4)v_5) = 2^3$ ; by symmetry the same invariant will hold for the other permutations of this type.

Finally we may look at the 5-cycle  $(v_1v_2v_3v_4v_5)$ . This permutation will cycle the edges  $e_{12}$ ,  $e_{23}$ ,  $e_{34}$ ,  $e_{45}$ ,  $e_{51}$  onto each other, and cycle  $e_{13}$ ,  $e_{24}$ ,  $e_{35}$ ,  $e_{14}$ ,  $e_{25}$ . Thus, to craft an invariant graph under this swap, we have 2 decisions to make since there are two cycles. Thus,  $\text{Inv}((v_1v_2v_3v_4v_5)) = 2^2$ ; by symmetry the same invariant will hold for the other permutations of this type.

- (c) **(5 points)** *Using the above work, determine the number of isomorphism classes of five-vertex graphs.*

With results from the previous two steps, Burnside's Lemma makes this straightforward:

$$|S/S_5| = \frac{2^{10} + 10 \cdot 2^7 + 15 \cdot 2^6 + 20 \cdot 2^4 + 20 \cdot 2^3 + 30 \cdot 2^3 + 24 \cdot 2^2}{120} = 34$$