

1. **(25 points)** Given posets (S, \preceq_S) and (T, \preceq_T) , let us consider the set $S \times T$ subjected to the relation \preceq which is such that $(a, b) \preceq (c, d)$ if and only if $a \preceq_S c$ and $b \preceq_S d$.

- (a) **(5 points)** Show that \preceq is a partial ordering on $(S \times T)$.

For any $s \in S$ and $t \in T$, reflexivity of \preceq_S and \preceq_T guarantee that $s \preceq_S s$ and $t \preceq_T t$, so $(s, t) \preceq (s, t)$. Thus \preceq is reflexive.

If (a, b) , (c, d) , and (e, f) are elements of $S \times T$ such that $(a, b) \preceq (c, d)$ and $(c, d) \preceq (e, f)$, the definition of \preceq guarantees that $a \preceq_S c$ and $c \preceq_S e$, so by transitivity of \preceq_S , $a \preceq_S e$; likewise $b \preceq_T d$ and $d \preceq_T f$, so since \preceq_T is transitive, $b \preceq_T f$. Thus, $(a, b) \preceq (e, f)$, exhibiting transitivity of \preceq .

Finally, let us demonstrate antisymmetry. For (a, b) and (c, d) in $S \times T$, let us explore the circumstances under which it can be true that both $(a, b) \preceq (c, d)$ and $(c, d) \preceq (a, b)$. The former relation requires that $a \preceq_S c$ and $b \preceq_T d$; the latter that $c \preceq_S a$ and $d \preceq_T b$. By antisymmetry of \preceq_S , since $a \preceq_S c$ and $c \preceq_S a$, it must be the case that $a = c$; likewise, $b \preceq_T d$ and $d \preceq_T b$ requires that $b = d$. Thus, $(a, b) \preceq (c, d)$ and $(c, d) \preceq (a, b)$ only if $(a, b) = (c, d)$, so \preceq is antisymmetric.

- (b) **(5 points)** Show that (a, b) is a maximal (or minimal) element of $S \times T$ if and only if a and b are maximal (or minimal) elements of S and T respectively.

We need only prove this for the maximal case; the argument for minimal (a, b) is identical with all orderings reversed.

If (a, b) is maximal, then for all $(c, d) \neq (a, b)$, it follows that $(a, b) \not\preceq (c, d)$; in particular, for all $d \neq b$, we know that $(a, b) \not\preceq (a, d)$. This will be true only if either $a \not\preceq_S a$ or $b \not\preceq_T d$. The first possibility is clearly not true (by reflexivity of \preceq_S); thus the latter must be true, and since it is true for all d , b must be maximal in T . A similar inspection of the comparison $(a, b) \not\preceq (c, b)$ will demonstrate maximality of a in S .

Conversely, if a is maximal in S and b is maximal in T , then we may show that (a, b) is maximal in $S \times T$ by considering the circumstances under which $(a, b) \preceq (c, d)$. This can happen only if $a \preceq_S c$ and $b \preceq_T d$; however, by maximality of a and b , this is only the case if $a = c$ and $b = d$. Thus, the only (c, d) for which $(a, b) \preceq (c, d)$ is one equal to (a, b) , so (a, b) is maximal.

- (c) **(5 points)** Show that if C is a chain in $S \times T$, then the set C_S of first coordinates of elements of C is a chain in S . (similarly, it is true that the set C_T of second coordinates of elements of C is a chain in T).

If x and y are elements of C_S , then by the construction of C_S there must be elements (x, a) and (y, b) of C . Since C is a chain in $S \times T$, it must be the case that $(x, a) \preceq (y, b)$ or $(y, b) \preceq (x, a)$. Thus, by the definition of \preceq , either $x \preceq_S y$ or $y \preceq_S x$. Thus x and y are comparable; since x and y were arbitrarily chosen, it follows that any two members of C_S are comparable, so C_S is a chain. The argument for C_T proceeds along similar lines.

- (d) **(5 points)** Demonstrate that if A is an antichain in $S \times T$, then the sets A_S and A_T defined as in the previous question need not be antichains.

A simple example suffices to show otherwise: let $S = T = \{1, 2\}$, subject to the ordinary numeric order \leq . Then $\{(1, 2), (2, 1)\}$ is an antichain in $S \times T$, but A_S and A_T will both be $\{1, 2\}$, which is not an antichain.

- (e) **(5 points + 5 points bonus)** If S has height h_S and width w_S , and T has height h_T and width w_T , what upper and lower bounds can you put on the height and width of $S \times T$? Can you show that your upper and lower bounds are achieved by some choices of S and T ?

The height of $S \times T$ can be easily seen to be $h_S + h_T - 1$. This can be shown to be a lower bound on the height by explicitly producing a chain of this length. Let $s_1 \preceq_S s_2 \preceq_S s_3 \preceq_S \cdots \preceq_S s_{h_S}$ be a longest chain in S (with each $s_i \neq s_{i+1}$); likewise let $t_1 \preceq_T t_2 \preceq_T t_3 \preceq_T \cdots \preceq_T t_{h_T}$ be a longest chain in T . Then we can construct a chain of length $h_S + h_T - 1$ in $S \times T$ as such:

$$(s_1, t_1) \preceq (s_1, t_2) \preceq \cdots \preceq (s_1, t_{h_T}) \preceq (s_2, t_{h_T}) \preceq \cdots \preceq (s_{h_S}, t_{h_T})$$

Showing that no longer chain is possible is slightly more difficult. Let us suppose C is a chain of length $n = h_S + h_T$ in $S \times T$; we may explicitly label its members in order:

$$(s_1, t_1) \preceq (s_2, t_2) \preceq (s_3, t_3) \preceq \cdots \preceq (s_n, t_n)$$

such that each $(s_i, t_i) \neq (s_{i+1}, t_{i+1})$. By the definition of \preceq , the above chain-ordering is identical to asserting the existence of the following *nonstrictly* increasing sequences:

$$s_1 \preceq_S s_2 \preceq_S s_3 \preceq_S \cdots \preceq_S s_n$$

$$t_1 \preceq_T t_2 \preceq_T t_3 \preceq_T \cdots \preceq_T t_n$$

And, since $(s_i, t_i) \neq (s_{i+1}, t_{i+1})$ for all i , it is necessary that for each i , either $s_i \neq s_{i+1}$ or $t_i \neq t_{i+1}$. One question thus to be asked of each of the nonstrictly increasing sequences above is how many of the \preceq symbols actually represent equality. Since the distinct terms of each sequence form a chain, we know that there are at most h_S distinct elements of the first sequence, and at most h_T distinct elements of the second sequence. Thus, there must be at least $n - h_S = h_T$ equalities in the first sequence, and $n - h_T - h_S$ equalities in the second sequence. Thus, of the $2(n - 1)$ relation-symbols appearing in those two sequences, at least $h_T + h_S = n$ of them must be equalities; by the Pigeonhole Principle, two of those equalities will be directly atop one another, implying that both $s_i = s_{i+1}$ and $t_i = t_{i+1}$, which contradicts our claim that all elements of C are distinct; thus, no chain of length $h_S + h_T$ exists in $S \times T$, so the height of $S \times T$ is exactly $h_S + h_T - 1$.

Let us now consider antichains. These are much more difficult to craft, in general. We can find a quite good lower bound of $w_S w_T$ for the width: if A_S and A_T are antichains in S and T respectively, it's quite easy to show that $A_S \times A_T$ is an antichain in $S \times T$, so choosing maximum A_S and A_T , this will produce an antichain of size $w_S w_T$ in $S \times T$. An upper bound is somewhat harder. We can invoke Dilworth's Theorem to possibly improve this somewhat, but not much: we

know that $|S \times T| \leq h_{S \times T} w_{S \times T}$, so $w_{S \times T} \geq \frac{|S| \cdot |T|}{h_S + h_T - 1}$, which may be larger than $w_S w_T$. A good upper bound, however, is very hard to demonstrate. A sloppy easy upper bound would be $\min(w_S |T| + 1, w_T |S| + 1)$. To show that any subset A of $S \times T$ of size $w_S |T| + 1$ contains comparable elements, we may use the Pigeonhole Principle, which says that, since there are only $|T|$ different second coordinates possible, at least $w_S + 1$ elements of A have the same second coordinate. Let us call these elements $(s_1, t), (s_2, t), \dots, (s_{w_S+1}, t)$. Note that $\{s_1, s_2, \dots, s_{w_S+1}\}$ is a subset of S of size $w_S + 1$, so by the definition of width, this set can not be an antichain so some $s_i \preceq_S s_j$ in this set. Then, since $t \preceq_T t$, it follows that $(s_i, t) \preceq (s_j, t)$ so A is not an antichain.

2. **(15 points)** *There are 34 non-isomorphic graphs on five vertices. We shall prove this without recourse to brute force.*

(a) **(5 points)** *There are 120 permutations of five elements. They can be divided into seven categories based on their cycle index. Identify the number of permutations in each category, justifying your work.*

The seven categories actually correspond exactly to the seven partitions of 5 into positive integers: $1 + 1 + 1 + 1 + 1$ corresponds to the cycle index x_1^5 , which describes the identity (which is unique).

$2 + 1 + 1 + 1$ corresponds to cycle index $x_2 x_1^3$, which is a permutation consisting of three fixed points and a single swap. There are $\binom{5}{2} = 10$ of these, since the permutation is uniquely determined by selecting the elements of the swap.

$2 + 2 + 1$ corresponds to cycle index $x_2^2 x_1$, which is a permutation consisting of a fixed point and two swaps. There are $\frac{1}{2} \binom{5}{2,2,1} = 15$ of these, since we may divide the elements of the permutation up among the first swap, second swap, and fixed point, but then must divide by 2 since the “first” and “second” swaps are interchangeable labels.

$3 + 1 + 1$ corresponds to cycle index $x_3 x_1^2$, which is a permutation consisting of two fixed points and a 3-cycle. There are $\frac{3!}{3} \binom{5}{3} = 20$ of these, since the permutation is uniquely determined by selecting the elements of the cycle and then their order within the cycle.

$3 + 2$ corresponds to cycle index $x_3 x_2$, which is a permutation consisting of a 3-cycle and a swap. There are $\frac{3!}{3} \binom{5}{3} = 20$ of these, since the permutation is uniquely determined by selecting the elements of the cycle and then their order within the cycle.

$4 + 1$ corresponds to cycle index $x_4 x_1$, which is a permutation consisting of a 4-cycle and a fixed point. There are $\frac{4!}{4} \binom{5}{4} = 30$ of these, since the permutation is uniquely determined by selecting the elements of the cycle and then their order within the cycle.

5 corresponds to cycle index x_5 , which is a permutation consisting of one large 5-cycle. There are $\frac{5!}{5} = 24$ of these, since the permutation is uniquely determined by selecting the order of elements within the cycle.

Note that $1 + 10 + 15 + 20 + 20 + 30 + 24 = 120$, as desired.

- (b) **(5 points)** For each of the seven categories, determine how many graphs are invariant under a representative permutation in that category.

There are 2^{10} ways to produce a graph on a labeled set of vertices $\{v_1, v_2, v_3, v_4, v_5\}$, since there are 10 pairs of vertices, and for each vertex-pair $[i, j]$, there is the choice of whether to include the edge e_{ij} or not.

All of these graphs are invariant under the identity, so $\text{Inv}(e) = 2^{10}$.

Looking at the single swap, let us consider specifically the swap (v_1v_2) . This will swap each of the edges/non-edges e_{13} , e_{14} , and e_{15} with e_{23} , e_{24} , and e_{25} respectively, while keeping every other edge in place. Thus, to craft an invariant graph under this swap, we have 7 decisions to make: to include or not include each of the four fixed edges, and to include or not include each of the three pairs of swapped edges. Thus, $\text{Inv}((v_1v_2)(v_3)(v_4)(v_5)) = 2^7$; by symmetry the same invariant will hold for the other permutations of this type.

Now, considering the double swap, let us consider specifically the swaps (v_1v_2) and (v_3v_4) . This will swap each of the edges/non-edges e_{13} , e_{14} , e_{15} , and e_{35} with e_{24} , e_{23} , e_{25} , and e_{45} respectively, while keeping every other edge in place. Thus, to craft an invariant graph under this swap, we have 6 decisions to make: to include or not include each of the two fixed edges, and to include or not include each of the four pairs of swapped edges. Thus, $\text{Inv}((v_1v_2)(v_3v_4)(v_5)) = 2^6$; by symmetry the same invariant will hold for the other permutations of this type.

We may look at the 3-cycle $(v_1v_2v_3)$. This permutation will cycle the edges e_{12} , e_{23} , e_{13} onto each other, and also produce the cycles e_{14} , e_{24} , e_{34} and e_{15} , e_{25} , e_{35} . The edge e_{45} is kept fixed. Thus, to craft an invariant graph under this swap, we have 4 decisions to make: to include or not include e_{45} , and to include or not include each of the three 3-cycles of edges. Thus, $\text{Inv}((v_1v_2v_3)(v_4)(v_5)) = 2^4$; by symmetry the same invariant will hold for the other permutations of this type.

Now, the 3-cycle $(v_1v_2v_3)$ with swap (v_4v_5) is under investigation. This permutation will cycle the edges e_{12} , e_{23} , e_{13} onto each other, and also produces a 6-cycle including all of e_{14} , e_{24} , e_{34} , e_{15} , e_{25} , e_{35} . Thus, to craft an invariant graph under this swap, we have 3 decisions to make: to include or not include e_{45} , and the include or not include each of the two cycles of edges. Thus, $\text{Inv}((v_1v_2v_3)(v_4v_5)) = 2^3$; by symmetry the same invariant will hold for the other permutations of this type.

Next we look at the 4-cycle $(v_1v_2v_3v_4)$. This permutation will cycle the edges e_{12} , e_{23} , e_{34} , e_{41} onto each other, as well as swapping e_{13} and e_{24} . It also produces a 4-cycle of e_{15} , e_{25} , e_{35} , e_{45} . Thus, to craft an invariant graph under this swap, we have 3 decisions to make; one for each of the three cycles. Thus, $\text{Inv}((v_1v_2v_3v_4)v_5) = 2^3$; by symmetry the same invariant will hold for the other permutations of this type.

Finally we may look at the 5-cycle $(v_1v_2v_3v_4v_5)$. This permutation will cycle the edges e_{12} , e_{23} , e_{34} , e_{45} , e_{51} onto each other, and cycle e_{13} , e_{24} , e_{35} , e_{14} , e_{25} . Thus, to craft an invariant graph under this swap, we have 2 decisions to make since there are two cycles. Thus, $\text{Inv}((v_1v_2v_3v_4v_5)) = 2^2$; by symmetry the same invariant will hold for the other permutations of this type.

- (c) **(5 points)** *Using the above work, determine the number of isomorphism classes of five-vertex graphs.*

With results from the previous two steps, Burnside's Lemma makes this straightforward:

$$|S/S_5| = \frac{2^{10} + 10 \cdot 2^7 + 15 \cdot 2^6 + 20 \cdot 2^4 + 20 \cdot 2^3 + 30 \cdot 2^3 + 24 \cdot 2^2}{120} = 34$$