

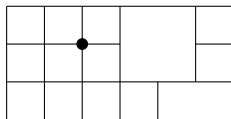
1. **(8 of 12 students attempted this)** Prove the combinatorial identity  $\sum_{k=1}^n k^2 \binom{n}{k} = n2^{n-1} + n(n-1)2^{n-2}$ . You may use any method you like.

A simple approach is purely combinatorial: the left side of the equation represents the number of ways to choose a subset of size  $k$  from an  $n$ -element set (which can be done in  $\binom{n}{k}$  ways) and then select, with order, an element and then another (possibly identical) element of the subset (which can be done in  $k^2$  ways). These numbers are added up over all values of  $k$ , so that the left side represents the number of ways to choose a subset of any size, and then choose two (not necessarily distinct) elements to distinguish individually. In a less formal setting, the objects enumerated by this left side might be considered to be the number of ways to choose a  $k$ -person committee from  $n$  people, and then to select a chair and secretary (who might be the same person).

In this context, the right side can be seen to enumerate the same thing through a casewise division: if the chair and secretary are the same, then we could preemptively choose the chair/secretary any of  $n$  ways, and then assign committee membership to the remaining  $n-1$  prospectives in any of  $2^{n-1}$  ways. On the other hand, if the chair and secretary were different, we would choose any of the  $n$  people to be the chair, any of the remaining  $n-1$  to be the secretary, and then committee membership for the remaining  $n-2$  people could be resolved in any of  $2^{n-2}$  ways.

There are other approaches possible, using either generating functions or direct algebra, but this is easily the simplest approach.

2. **(12 of 12 students attempted this)** Answer the following questions relating to paths through the following grid (note the excluded portions):



- (a) **(5 points)** How many walks are there from the lower left corner to the upper right corner taking upwards and rightwards steps only?

If the grid were complete, there would be  $\binom{9}{3}$  routes from the lower left corner  $(0,0)$  to the upper right  $(6,3)$ . We must remove those which pass through the excluded points  $(4,2)$  or  $(5,0)$  — we don't need to exclude  $(6,0)$  since any path through  $(6,0)$  would have to go through  $(5,0)$  and thus already be excluded.

Exactly  $\binom{6}{2}\binom{5}{1}$  paths pass through  $(4,2)$ , since there are  $\binom{6}{2}$  paths there and  $\binom{5}{1}$  paths from there to  $(6,3)$ . Likewise, exactly  $\binom{5}{0}\binom{4}{1}$  paths go through  $(5,0)$ . No paths go through both points, so re-inclusion of their overlap is not necessary, and we get the result  $\binom{9}{3} - \binom{6}{2}\binom{3}{1} - \binom{5}{0}\binom{4}{1} = 35$ .

- (b) **(5 points)** How many of these walks pass through the point marked with a solid dot?

If the grid were complete,  $\binom{4}{2}\binom{5}{1}$  paths would go through this point. However, we must remove those paths through this point that also go through  $(4,2)$  (it is impossible to go through both the solid dot and  $(5,0)$ , so we don't need to

worry about that exclusion. There are  $\binom{4}{2}\binom{2}{0}\binom{3}{1}$  paths through both  $(2, 2)$  and  $(4, 2)$ , so the number of paths through  $(2, 2)$  not going through  $(4, 2)$  is  $\binom{4}{2}\binom{5}{1} - \binom{4}{2}\binom{2}{0}\binom{3}{1} = 12$ , which could actually be enumerated through brute force if you were so inclined.

3. **(10 of 12 students attempted this)** Answer the following questions about the number of solutions  $a_n$  to the equation  $x_1 + x_2 + x_3 + x_4 = n$  subject to the conditions that all the  $x_i$  are non-negative integers, that  $x_1 \leq 3$ ,  $2 \leq x_2 \leq 4$ ,  $x_3 \geq 5$ , and  $x_4 \geq 5$ .

- (a) **(5 points)** Find a closed form for the ordinary generating function  $\sum_{n=0}^{\infty} a_n x^n$ .

The OGF for selecting  $x_1$  is  $1 + x + x^2 + x^3$ , or, more compactly,  $\frac{1-x^4}{1-x}$ . The OGF for selecting  $x_2$  is  $x^2 + x^3 + x^4$ , or  $\frac{x^2-x^5}{1-x}$ . The OGF for both  $x_3$  and  $x_4$ 's selection is  $x^5 + x^6 + x^7 + \dots = \frac{x^5}{1-x}$ .

Thus, the OGF for selecting all of these values is either  $\frac{(1-x^4)(x^2-x^5)x^{10}}{(1-x)^4}$  or  $\frac{(1+x+x^2+x^3)(x^2+x^3+x^4)x^{10}}{(1-x)^2}$ , depending on preference — the first form is much easier to work with in part (b).

- (b) **(5 points)** Either using your generating function or by other means, find a formula for  $a_n$ .

Using the generating function:

$$\begin{aligned} \frac{(1-x^4)(x^2-x^5)x^{10}}{(1-x)^4} &= \frac{x^{12} - x^{15} - x^{16} - x^{19}}{(1-x)^4} \\ &= (x^{12} - x^{15} - x^{16} + x^{19}) \sum_{n=0}^{\infty} \binom{n+4-1}{4-1} x^n \\ &= \sum_{n=0}^{\infty} \binom{n+3}{3} x^{n+12} - \sum_{n=0}^{\infty} \binom{n+3}{3} x^{n+15} - \sum_{n=0}^{\infty} \binom{n+3}{3} x^{n+16} + \sum_{n=0}^{\infty} \binom{n+3}{3} x^{n+19} \\ &= \sum_{n=12}^{\infty} \binom{n-9}{3} x^n - \sum_{n=15}^{\infty} \binom{n-12}{3} x^n - 2 \sum_{n=16}^{\infty} \binom{n-13}{3} x^n + \sum_{n=19}^{\infty} \binom{n-16}{3} x^n \\ &= \sum_{n=12}^{\infty} \left[ \binom{n-9}{3} - \binom{n-12}{3} - \binom{n-13}{3} + \binom{n-16}{3} \right] x^n \end{aligned}$$

$$\text{so } a_n = \binom{n-9}{3} - \binom{n-12}{3} - \binom{n-13}{3} + \binom{n-16}{3}.$$

This result could also be attained using inclusion-exclusion and pre-emptive assignment of elements: pre-emptively assign a value of 2 to  $x_2$ , and 5 to each of  $x_3$  and  $x_4$ , leaving  $n - 12$  to freely distribute; then exclude those cases assigning more than 4 of the remainder to  $x_1$ , or more than 4 of the remainder to  $x_2$ , then re-include the cases doing both.

4. **(6 of 12 students attempted this)** Prove the combinatorial identity  $\sum_{m=1}^n \binom{n}{m} S(n-m, k) = (k+1)S(n, k+1)$ . You may use any method you like.

The right side of this equation represents the number of partitions of a  $n$ -element set into  $k+1$  nonempty sets (which can be done  $S(n, k+1)$  ways), and then the selection

of one of the parts to be “distinguished”. On the left side, we indicate a different procedure for counting the same combinatorial objects: we choose a nonzero size  $m$  for the distinguished set, then select a distinguished set of size  $m$  in any of  $\binom{n}{m}$  ways, and then partition the remaining  $n - m$  elements into  $k$  undistinguished sets in any of  $S(n - m, k)$  ways.

There are certainly other approaches, among them generating-functional and purely algebraic, but this is the most straightforward argument.

5. **(8 of 12 students attempted this)** *You are playing a board game in which on each turn you may advance by crawling one space, walking one space, bouncing two spaces, leaping two spaces, or flying two spaces. Let  $a_n$  be the number of different sequences of moves you can use to travel exactly  $n$  spaces.*

- (a) **(5 points)** *Derive a recurrence relation and initial conditions for  $a_n$ .*

One can move  $n$  spaces by moving  $n - 2$  spaces (in any of  $a_{n-2}$  ways) and then taking either a bounce, leap, or fly; thus, there are  $3a_{n-2}$  ways to travel  $n$  spaces ending with one of these three moves. Similarly, we could move  $n - 1$  steps in any of  $a_{n-1}$  ways, and then crawl or walk one space, giving  $2a_{n-1}$  ways to walk  $n$  steps ending with either of these two moves. These exhaust the possible endings, so the total number of sequences traveling  $n$  spaces is  $3a_{n-2} + 2a_{n-1}$ . Thus,  $a_n = 2a_{n-1} + 3a_{n-2}$ . The initial conditions are easy: there is only one sequence moving zero steps (the empty sequence), so  $a_0 = 1$ . There are two sequences moving exactly one space: a single walk or a single crawl. Thus  $a_1 = 2$ .

- (b) **(5 points)** *Solve your recurrence relation to find a closed form for  $a_n$ . You may use any method to do so.*

Using classical recurrence-relation solving methods,  $a_n = 2a_{n-1} + 3a_{n-2}$  has characteristic polynomial  $x^2 - 2x - 3$ , which has roots 3 and  $-1$ . Thus,  $a_n = k \cdot 3^n + \ell(-1)^n$  for some  $k$  and  $\ell$ . Plugging in the known values of  $a_0$  and  $a_1$ , we get:

$$1 = k + \ell$$

$$2 = 3k - \ell$$

so  $k = \frac{3}{4}$  and  $\ell = \frac{1}{4}$ . Thus,

$$a_n = \frac{3^{n+1} + (-1)^n}{4}$$

6. **(4 of 12 students attempted this)** *Let  $a_n$  be the number of strings of length  $n$  consisting of the letters A, B, C, and D which contain at least one A and at least one B.*

- (a) *Find a closed form for the exponential generating function  $g(x) = \sum_{n=0}^{\infty} a_n \frac{x^n}{n!}$ .*

The EGF for including one or more “A”s is  $x + \frac{x^2}{2!} + \frac{x^3}{3!} + \cdots = e^x - 1$ ; likewise for “B”s. On the other hand, there can be zero or more Cs or Ds, so these have EGF

$1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \cdots = e^x$ . Thus the EGF for including the appropriate numbers of any of these letters in a string is the product

$$(e^x - 1)^2 (e^x)^2 = e^{4x} - 2e^{3x} + e^{2x}$$

- (b) Either using your generating function or by other means, find a formula for  $a_n$ .  
From the generating function:

$$\begin{aligned} e^{4x} - 2e^{3x} + e^{2x} &= \sum_{n=0}^{\infty} \frac{(4x)^n}{n!} - 2 \sum_{n=0}^{\infty} \frac{(3x)^n}{n!} + \sum_{n=0}^{\infty} \frac{(2x)^n}{n!} \\ &= \sum_{n=0}^{\infty} (4^n - 2 \cdot 3^n + 2^n) \frac{x^n}{n!} \end{aligned}$$

so  $a_n = 4^n - 2 \cdot 3^n + 2^n$ . This result could also be obtained by inclusion-exclusion, counting all strings, excluding those omitting either A or B, and re-including those that omit both A and B.